Code AAA

Company name An Phat Bioplastics Joint Stock Company

Date 07/07/2020

Subject Notice of transactions of warrants

Content:

An Phat Bioplastics Joint Stock Company (code: AAA) announces transactions of related persons as follows:

- **1.** Trader: An Phat Holdings
 - Address: Lot CN11+CN12, An Dong Industrial Park Group, Nam Sach Town, Nam Sach District, Hai Duong Province.
 - Position in the listed firm: none
- 2. Information on the listed firm's insiders who are related to the trader:
 - **2.1.** Insider: Pham Anh Duong
 - Position in the listed firm: Chairman of the Board of Directors of An Phat Bioplastics Joint Stock Company
 - Relation with the trader: Chairman of the Board of Directors of An Phat Holdings
 - Number of shares owned by the insider: 0 shares
 - **2.2.** Insider: Pham Hoang Viet
 - Position in the listed firm: Member of the Board of Directors of An Phat Bioplastics Joint Stock Company
 - Relation with the trader: Member of the Board of Directors of An Phat Holdings
 - Number of shares owned by the insider: 0 shares
 - **2.3.** Insider: Nguyen Le Trung
 - Position in the listed firm: Member of the Board of Directors cum CEO of An Phat Bioplastics Joint Stock Company
 - Relation with the trader: Member of the Board of Directors of An Phat Holdings
 - Number of shares owned by the insider: 0 shares
 - **2.4.** Insider: Dinh Xuan Cuong
 - Position in the listed firm: Member of the Board of Directors of An Phat Bioplastics Joint Stock Company

- Relation with the trader: Member of the Board of Directors cum CEO of An Phat Holdings
- Number of shares owned by the insider: 0 shares
- 3. Stock code: AAA
- **4.** Account number: N/A
- **5.** Number of shares owned by the trader before trading: 82,305,630 shares (48.08% of total shares of the Company)
- **6.** Number of warrants owned before trading: 4,000 warrants
- 7. Number of warrants registered to sell: 1,000 warrants (25% of total number of issued warrants) (those who own 01 warrant will be entitled to buy 10,000 AAA shares at VND14,000/share)
- **8.** Selling price: no less than VND15,000,000/warrant (equivalent to VND1,500/purchase right to buy a new share at VND14,000/share)
- **9.** Number of warrants owned after trading: 3,000 warrants
- **10.** Number of shares owned after exercising warrants: 112,305,630 shares (53.18% of total shares of the Company)
- 11. Trading method: transferring warrants through a depository member
- **12.** Trading time: from July 09, 2020 to August 07, 2020.